

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-02-25

2. **Agency:** 024

3. **Bureau:** 10

4. **Name of this Investment:** A&O - Homeland Security Information Network (HSIN)

5. **Unique Project (Investment) Identifier (UPI):** 024-10-01-08-01-9102-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2005

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Homeland Security Enterprise is composed of diverse stakeholders who must coordinate, communicate, and collaborate effectively and efficiently by sharing mission specific information. There is no single government technology platform that provides the scalability and capability required to support DHS' diverse information sharing needs. The current HSIN platform, known as HSIN Legacy, has emerged as a common and unique platform that serves many of DHS' critical stakeholders. HSIN is the web-based portal for sharing Sensitive But Unclassified (SBU) information, both internal and external to DHS. HSIN stakeholders are categorized into five mission areas including Homeland Security (Emergency Management, Immigration Control, Fire Services, Emergency Medical Services, Advisors and Committees, and Critical Sectors), Law Enforcement, Intelligence Analysis, Defense, and Foreign Partners. HSIN is the DHS target SBU information sharing platform and is the only federal portal that provides information sharing between Law Enforcement, Emergency Management, Critical Infrastructure, and other homeland security missions. HSIN benefits the mission by connecting DHS' mission partners in an environment that promotes trust and sharing, and supports the following mission needs 1) timely and accurate information related to detecting, preventing, responding, and recovering from terrorist attacks and natural disasters 2) timely and accurate information regarding vulnerabilities and threats; managing incidents to mitigate risks and reduce post incident loss of life and property 3) near-real-time collaboration and incident management 4) information exchange for emergency management response and recovery operations 5) to connect disparate information users in a dynamic and diverse information exchange environment. HSIN provides these stakeholders three valuable services for secure and trusted information sharing: a shared place for homeland security stakeholders to collaborate on issues, in a secure manner. The second is the distribution of homeland security reports and related intelligence information. And finally, a new HSIN strategy to become the single data access point for queries to DHS supplied data such as law enforcement information. HSIN will consolidate 3 existing DHS portals in FY11 and 7 in FY12. HSIN platform will undergo an upgrade, scheduled for completion by FY12 pending contract award. Additionally, the program expects increased user accts to 130K by FY15.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the**

investment including links to GAO and IG reports.

Title	Link
IT Program Assessment	http://www.dhs.gov/xlibrary/assets/mgmt/itpa-ao-hsin2011.pdf

9.

a. Provide the date of the Agency's Executive/Investment Committee approval of this investment.

2011-01-11

b. Provide the date of the most recent or planned approved project charter. 2011-01-11

10. Contact information?

a. Program/Project Manager Name: *

Phone Number: *

Email: *

b. Business Function Owner Name (i.e. Executive Agent or Investment Owner): Mr. Richard Spires

Phone Number: *

Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 5

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	7001	HSHQDC06J00418	HSHQPA06A00019		*	*			N	2006-09-29	2011-02-11	Y	HSIN PROGRAM MANAGEMENT
Awarded	7001	HSHQDC08J00134	HSHQDC06D00024	HSHQDC-08-R-00037	*	*		Cost Plus Fixed Fee	Y	2008-05-23	2011-05-26	Y	Engineering Support to HSIN Next Generation
Awarded	7001	HSHQDC09J00118	HSHQDC06D00058	HSHQDC-09-Q-00016	*	*		Labor Hours	N	2009-07-09	2011-07-08	Y	Independent Verification and Validation Technical services
Awarded	7001	09ROOPIAA04			*	*							Hosting for HSIN in DHS data center 1
Awarded	7001	HSHQDC09J00206	HSHQDC07D00025	HSHQDC-09-Q-00261	*	*		Firm Fixed Price	X	2009-06-18	2010-06-05	Y	Hardware and software renewals for the HSIN

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	7001	HSHQDC09-J-00362	HSHQDC-06-D-00032		*	*		Firm Fixed Price	N	2009-09-09	2010-09-30	Y	Logical Follow-on to HSHQDC-07-J-00515
Awarded	7001	HSHQDC09J00483	HSHQDC-06-D-00034	HSHQDC-09-R-00119	*	*		Firm Fixed Price	N	2009-09-28	2011-11-15	Y	HSIN Mission Integration

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *
- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.

2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. the dhs data center that hosts hsin does not offer options for cloud computing; however, the hsin pmo will continue to work with the managers of the dhs data center to evaluate options for the future use of cloud computing to ensure compliance.

3. Provide the date of the most recent or planned Quality Assurance Plan 2011-01-31

4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment. 024-10-01-08-01-9104-00
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 024-10-01-02-01-9106-00

5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 2010-10-01

6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2011-02-15

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY05 - Accomplish assigned tasks and performance goals through Program Management.	DME	*	\$6.7	\$5.9	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY05 - Develop and acquire the technology, hardware and software for improvement of HSIN capabilities.	DME	*	\$9.3	\$8.2	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY05 - Achieve program logistics, testing and evaluation requirements as stated in the SOW including audits, tests and materials support.	DME	*	\$9.7	\$9.8	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY06 - Accomplish assigned tasks and performance goals through Program Management.	DME	*	\$4.6	\$5.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Develop and acquire the technology, hardware and software for improvement of HSIN capabilities.	DME	*	\$5.0	\$5.3	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY06 - Achieve program logistics, testing and evaluation requirements as stated in the SOW including audits, tests and materials support.	DME	*	\$3.7	\$3.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Maintain and Support Operational System (O&M)	SS	*	\$10.4	\$9.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY07 - Accomplish assigned tasks and performance goals through Program Management for the O&M portion of the program.	SS	*	\$1.7	\$1.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY07 - Develop and acquire the technology, hardware and software for improvement of HSIN capabilities.	DME	*	\$5.1	\$4.2	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY07 - Achieve program logistics, testing and evaluation requirements as stated in the SOW including audits, tests and materials support.	SS	*	\$1.7	\$1.4	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY07 - Accomplish	DME	*	\$3.8	\$3.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
assigned tasks and performance goals through Program Management for the CIO PM Support portion of the program.										
FY07 - Maintain and Support Operational System (O&M)	SS	*	\$11.1	\$10.7	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY08 - Complete analysis and other work products in technical support of current HSIN. Migrate HSIN Ashburn to HSIN Stennis.	DME	*	\$4.7	\$4.1	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY08 - Successfully provide technical support and direction to DHS OPS for current and NextGen HSIN, including program support, acquisition support, and mission capability delivery.	DME	*	\$1.9	\$0.9	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY08 - Successfully complete the T&E reports required in the Statement of Work of the IAA.	DME	*	\$5.2	\$5.0	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY08 - Complete program management tasks, outreach and communications tasks, including performance management, information assurance and training.	DME	*	\$2.3	\$2.4	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY08 - Maintain and Support Operational System (O&M)	SS	*	\$12.1	\$9.9	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY08 - Award contract for HSIN Next Gen. Develop, maintain and improve HSIN NextGen capabilities and operations and maintenance of legacy HSIN.	DME	*	\$10.8	\$12.5	2008-05-23	2008-05-23	2009-05-22	2009-05-22	100.00%	100.00%
FY09 - Complete program management tasks, outreach and communications tasks, including performance management, information assurance and training.	DME	*	\$5.4	\$5.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Maintain	SS	*	\$5.5	\$3.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
and Support Operational System (O&M)										
FY09 - Develop, maintain and improve HSIN NextGen capabilities and operations and maintenance of legacy HSIN.	DME	*	\$15.0	\$15.0	2009-05-23	2009-05-23	2010-05-22	2010-05-22	100.00%	100.00%
FY10 - Complete program management tasks, outreach and communications tasks, including performance management, information assurance and training.	SS	*	\$5.4	\$4.0	2009-10-01	2009-10-01	2010-09-30	2010-09-30	99.00%	99.00%
FY10 - Maintain and Support Operational System (O&M)	SS	*	\$10.8	\$8.1	2009-10-01	2009-10-01	2010-09-30	2010-09-30	99.00%	99.00%
FY10 - Develop, maintain and improve HSIN NextGen capabilities	DME	*	\$9.0	\$6.0	2010-05-23	2010-05-23	2011-05-22		100.00%	99.00%
FY10 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	\$8.0	\$6.0	2009-10-01	2009-10-01	2010-09-30	2010-11-17	95.00%	95.00%
FY11 - Standup	DME	*	\$2.0		2010-10-01		2011-05-31		0.00%	0.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
HSIN NextGen in DC2 Develop, maintain and improve HSIN NextGen capabilities										
FY11 - Complete program management tasks, outreach and communications tasks, including performance management, information assurance and training.	SS	*	\$13.0	\$4.8	2010-10-01	2010-10-01	2011-09-30		50.00%	50.00%
FY11 - IOC HSIN NextGen	DME	*	\$8.7		2010-10-01		2011-09-30		0.00%	0.00%
FY11 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	\$5.0	\$1.4	2010-10-01	2010-10-01	2011-09-30		50.00%	50.00%
FY12 - Implement T&E Lab	DME	*	*	*	2012-04-01	*	2012-09-30	*	*	*
FY12 - Maintain and Support Operational System (O&M)	SS	*	*	*	2011-10-01	*	2012-09-30	*	*	*
FY12 - Migration to HSIN NextGen and Decom old platform, FOC	DME	*	*	*	2011-10-01	*	2012-09-30	*	*	*
FY12 - Outreach to Federal, State, local, tribal,	SS	*	*	*	2011-10-01	*	2012-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
private, and international HSIN partners.										
FY13 - Cost Benefit Analysis	DME	*	*	*	2012-10-01	*	2013-04-01	*	*	*
FY13 - Maintain and Support Operational System (O&M)	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY13 - Tech Refresh Acquisition Preparation	DME	*	*	*	2013-04-01	*	2013-09-20	*	*	*
FY13 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY14 - Maintain and Support Operational System (O&M)	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
FY14 - Develop, maintain and improve HSIN NextGen capabilities	DME	*	*	*	2014-05-23	*	2015-05-22	*	*	*
FY14 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
FY15 - Maintain and Support Operational System (O&M)	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY15 - Develop, maintain and improve HSIN NextGen capabilities	DME	*	*	*	2015-05-23	*	2016-05-23	*	*	*
FY15 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
FY16 - Maintain and Support Operational System (O&M)	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
FY16 - Complete improvements for HSIN NextGen capabilities	DME	*	*	*	2015-10-01	*	2016-09-30	*	*	*
FY16 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
FY17 - Maintain and Support Operational System (O&M)	SS	*	*	*	2016-10-01	*	2017-09-30	*	*	*
FY17 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2016-10-01	*	2017-09-30	*	*	*
FY18 - Maintain and Support Operational System (O&M)	SS	*	*	*	2017-10-01	*	2018-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY18 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2017-10-01	*	2018-09-30	*	*	*
FY19 - Maintain and Support Operational System (O&M)	SS	*	*	*	2018-10-01	*	2019-09-30	*	*	*
FY19 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2018-10-01	*	2019-09-30	*	*	*
FY20 - Feasibility Study	DME	*	*	*	2019-10-01	*	2020-06-30	*	*	*
FY20 - Maintain and Support Operational System (O&M)	SS	*	*	*	2019-10-01	*	2020-09-30	*	*	*
FY20 - Outreach to Federal, State, local, tribal, private, and international HSIN partners.	SS	*	*	*	2019-10-01	*	2020-09-30	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.

2010-06-15

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?

yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Technology	Operations and Maintenance Costs	Cost per node/beneficiary connected.	annual	Dollars	Decrease	Baseline	2005-06-06
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	Delta - 10%	\$1,767	Met	2011-02-25
			2007	Delta - 15%	\$999.69	Met	2011-02-25
Processes and Activities	Cycle Time	Decrease the speed to produce and share Situational Awareness, Alerts, and Warnings by 10% each year.	annual	Minutes	Decrease	Baseline	2005-06-06
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	60 minutes	120 minutes	Not Met	2011-02-25
			2008	180 minutes	152 minutes	Met	2011-02-25
			2009	162 minutes	64 minutes	Met	2011-02-25
			2010	146 minutes	26 minutes	Met	2011-02-25
Customer Results	Customer Satisfaction	Increase MOC and HSIN Advisory Council (AC) member satisfaction by	annual	Satisfaction on a scale of 1-5	Increase	Customer Survey with Legal & Privacy Offices to comply with Paper	2005-06-06

0.1 per year based on feedback surveys taken at the conclusion of the meeting. The scale is from 1-5, 5 being 'outstanding.' The target is 4 which is 'above satisfactory.'

Reduction Act and Privacy. Hope to capture data starting in FY07.

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2007	Starts in FY08	Starts in FY08	Not Due	2011-02-25
2008	4.0	4.3	Met	2011-02-25
2009	4.1	3.9	Not Met	2011-02-25
2010	4.2	4.5	Met	2011-02-25
2011	4.3			2010-09-17

Mission and Business Results	Operational Defense	Increase the percentage of active HSIN users by 10% each year.	annual	Percent of users	Increase	Baseline	2005-06-06
			Fiscal Year	Target	Actual Results	Target “Met” or “Not Met”	Last Updated
			2007	Baseline	38%	Not Due	2011-02-25
			2008	50%	24%	Not Met	2011-02-25
			2009	60%	24%	Not Met	2011-02-25
			2010	70%	44%	Not Met	2011-02-25
			2011	80%			2010-09-17

Mission and Business Results	Operational Defense	Increase the total number of HSIN user accounts by 5,000 users per year.	2012	90%			2010-09-17
			2013	95%			2010-09-17
			2014	95%			2010-09-17
			2015	95%			2010-09-17
			2016	95%			2010-09-17
			2017	95%			2010-09-17
			2018	95%			2010-09-17
			2019	95%			2010-09-17
			2020	95%			2010-09-17
			annual	Number of users	Increase	7,000	2005-06-06
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2006	30,000	18,400	Not Met	2011-02-25
			2007	50,000	22,407	Not Met	2011-02-25
			2008	35,000	34,413	Met	2011-02-25

2009	40,000	41,960	Met	2011-02-25
2010	45,000	24747	Not Met	2011-02-25
2011	50,000	30820	Not Due	2011-02-25
2012	55,000			2010-09-17
2013	60,000			2010-09-17
2014	65,000			2010-09-17
2015	70,000			2010-09-17
2016	75,000			2010-09-17
2017	80,000			2010-09-17
2018	85,000			2010-09-17
2019	90,000			2010-09-17
2020	95,000			2010-09-17

Technology	Interoperability	Number of external connections each year	annual	Number of external DHS connections	Increase	Baseline	2012-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	Starts in FY12			2010-09-17

Technology

Reliability

Percent of time the Homeland Security Information Network (HSIN) is fully operational.

annual

Hours

Maintain

Baseline

2005-06-06

2013	2			2010-09-17
2014	3			2010-09-17
2015	3			2010-09-17
2016	3			2010-09-17
2017	3			2010-09-17
2018	3			2010-09-17
2019	3			2010-09-17
2020	3			2010-09-17
Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2006	Baseline	95.28%	Not Due	2011-02-25
2007	99.999% uptime	99.90%	Met	2011-02-25
2008	99.99% uptime (target changed due to new SLA)	99.94%	Met	2011-02-25
2009	99.99% uptime	98.81%	Not Due	2011-02-25

			2010	99.99% uptime	100%	Met	2011-02-25
			2011	99.99% uptime			2010-09-17
Processes and Activities	Security	POA&M closure rate	annual	Time	Decrease	Baseline	2011-10-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Baseline FY11			2010-09-17
			2012	Baseline FY11			2010-09-17
			2013	Baseline FY11			2010-09-17
			2014	Baseline FY11			2010-09-17
			2015	Baseline FY11			2010-09-17
			2016	Baseline FY11			2010-09-17
			2017	Baseline FY11			2010-09-17
			2018	Baseline FY11			2010-09-17
			2019	Baseline FY11			2010-09-17
			2020	Baseline FY11			2010-09-17
Customer Results	Customer Training	The number of users trained	annual	Number of users	Increase	Baseline	2012-10-01

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2012	4.4			2010-09-17
2013	4.5			2010-09-17
2014	4.6			2010-09-17
2015	4.7			2010-09-17
2016	4.75			2010-09-17
2017	4.8			2010-09-17
2018	4.85			2010-09-17
2019	4.9			2010-09-17
2020	4.95			2010-09-17

* - Indicates data is redacted.